Exhibit "E" Nettie Burks' Affidavit

IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA NORTHERN DIVISION

RICHARD WAYNE WRIGHT, SR.,)	
Plaintiff,)	•
v.)	CIVIL ACTION NO. 2:05-CV-439-A
SYLVESTER NETTLES, et al.,)	
Defendants.)	

AFFIDAVIT OF NETTIE BURKS

STATE OF ALABAMA)
)
COUNTY OF BARBOUR)

Before me, the undersigned Notary Public, personally appeared NETTIE BURKS, who after being duly sworn, states as follows:

- My name is Nettie Burks. I am over the age of nineteen (19) years and have 1. personal knowledge of the information contained in this affidavit.
- 2. I have been employed at Ventress Correctional Facility ("Ventress") since 1992. From November 3, 2003 to the present, I have been employed by Prison Health Services, Inc. ("PHS") as the Health Service Administrator for Ventress. I am currently a licensed nurse in the state of Alabama.
- 3. Richard Wayne Wright ("Wright") is an inmate currently incarcerated at Ventress.

- 4. A psychotropic drug is one that alters brain function, resulting in temporary changes in perception, mood, consciousness or behavior. These drugs are also used to treat neurological and psychological illnesses.
- 5. Mental Health Management ("MHM") is a private health care provider retained by the Alabama Department of Corrections ("ADOC") to provide mental health and/or psychiatric care for inmates incarcerated in state prisons in Alabama. MHM is not a subcontractor of PHS and PHS has no authority to direct and/or control any mental health and/or psychiatric care provided by MHM. MHM provides mental health and/or psychiatric care to inmates at Ventress five (5) days a week, eight (8) hours a day. As a general rule, MHM alone is responsible for ordering and prescribing any and all psychotropic medications for inmates with mental health and/or psychiatric conditions. There is one limited exception to this rule. PHS physicians may prescribe and provide inmates with the psychotropic drug Elavil, which is often prescribed for various medical conditions such as neuropathy. No PHS physician or other PHS medical personnel has ever been prescribed Elavil or any other psychotropic drug for Wright.
- 6. PHS is not responsible in any way for providing inmates incarcerated at Ventress, including Wright, with any psychiatric care of any kind while MHM is present. PHS is not responsible in any for ordering and/or prescribing any psychotropic medications for inmates at Ventress. PHS's only role in providing psychiatric services at Ventress is its administration of prescribed psychotropic medications through the pill call process. PHS physically administers and/or provides all prescribed medications to all inmates at Ventress through this pill call process. Pill call is the process by which inmates at Ventress receive prescribed medication. Pill call is conducted four (4) times per day at 2:30 a.m., 9:15 a.m., 3:00 p.m. and 9:00 p.m. When inmates are summoned to pill call, each inmate with prescription medication appears before a pill

call window located in the Health Care Unit and a member of the PHS medical staff hands each inmate his prescribed medications. The medications administered and/or provided by PHS during pill call include any psychotropic medications prescribed by MHM for inmates at Ventress that can be taken in pill form. PHS nurses and other medical personnel may not administer any other form of psychotropic drugs, such as injectable psychotropic medications, which are administered directly by MHM personnel when they are present.

- 7. The PHS medical staff generates a Medication Administration Record or MAR for each inmate who receives medication through the pill call process. MARs document the specific types of medication inmates receive on a day-to-day basis as well as the exact dates when an inmate receives any prescribed medications. MARs are generated for each month in which an inmate receives prescribed medication and provide space for the medical staff to confirm in writing the days within a month when prescribed medication is provided to an inmate. When medicines are administered to inmates during pill call, the administering nurse signs or initials in the space provided for the applicable date of the month on an inmate's MAR, confirming provision of prescribed medication. In short, an inmate's MARs record when prescription medication (including psychotropic medication) is provided, how much medication is provided, the date on which the medication is provided and the time period over which an inmate receives prescribed medication. All prescribed medications (including psychotropic medications) given to an inmate during his incarceration appear and/or are identified in his MARs.
- 8. As indicated by Wright's MARs, Wright has not received any psychotropic medication of any kind since June of 2003. In June, 2003, Wright was prescribed and received

Haloperidol, a psychotropic medication. Wright has not been prescribed or received Haloperidol since June 29, 2003.

- 9. Wright does not have a current prescription for any psychotropic medication and is currently not receiving any psychotropic medication of any kind from PHS. According to Wright's Mental Services Psychiatric Progress Notes dated June 20, 2005, Wright's last evaluation by the mental health staff at Ventress did not result in any psychotropic medications being prescribed for him and a member of the mental health staff noted, "[Wright] is not on any medicines." This is the last entry in Wright's mental health records and there is no indication that Wright has been placed back on psychotropic drugs since that time.
- 10. Wright has a history of violent behavior. A Psychological Interview/Data Entry Form dated May 22, 1996, indicates that Wright's mental health must be managed in light of his prior conviction of burglary, resulting in an assault on and injury to the victim. Emergency Treatment Forms dated February 4, 2002 and November 3, 2004, indicates that Wright admitted, "I was fighting. I got a few bumps and bruises." Another document referencing the same incident entitled Referral to Mental Health also dated November 3, 2004, specifies that Wright needed to be referred to mental health because he was not currently on psychotropic medications and, as a result, he was "picking fights" with other inmates. On this same document, an evaluation of Wright's mental status indicates that his demeanor was "Hostile, angry."
- 11. When an immate has a non-emergency medical or health problem and/or complaint, an immate may file a sick call request form in order to bring this problem or complaint to the attention of the medical staff at Ventress and/or request medical treatment for this problem. The sick call request process is well-known at Ventress and is utilized by inmates at Ventress on a daily basis. When an immate first arrives at Ventress, he is taken to the Health

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Care Unit to be processed in the system and receive an orientation as to the availability of medical services at the facility as well as the procedures for obtaining medical care. During this orientation, the medical staff gives each inmate an information sheet and verbally goes through the sheet with newly-arriving inmates, informing them how to utilize the sick call request form process. Sick call request forms are available at the Health Care Unit or Alabama Department of Corrections ("ADOC") shift commander's office at Ventress. An inmate making a sick call request is required to complete the top portion of the sick call request form (stating his name, the date of request, AIS number, date of birth, dorm location, the nature of the problem or request and his signature) and submit the sick call request form by placing it in a locked box located in the facility's kitchen. Sick call request forms are then brought to the Health Care Unit. The sick call request forms are removed from the locked box each day at approximately 12:00 p.m. and marked as received by the medical records clerk or a nurse at that time. Upon reviewing the sick call request forms, the medical staff compiles a list of inmates having submitted sick call request forms, which is then provided to correctional officers in the various dorms at Ventress who are responsible for notifying inmates in the particular dorms that sick call has begun. Inmates who submit sick call request forms are responsible for reporting to the Health Care Unit for evaluation of their complaints at the time they are summoned to the Health Care Unit for sick call. The nurse conducting sick call takes reporting inmates' vital signs and either: (1) provides an inmate with medical treatment that can be provided under the nursing protocols, or (2) refers the inmate to the physician or nurse practitioner on staff at Ventress. If an inmate submits more than one (1) sick call request form on the same day, the nurse will only fill in the intake information on one (1) sick call request form regarding the inmate's subjective complaints, objective vital signs, assessment and plan. If the inmate fails to report to sick call when

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summoned, this is often indicated in the sick call request form because the sick call request form is left blank, <u>i.e.</u> not completed, by the medical staff. If the medical complaints or problems identified by an inmate in a sick call request form appear to be urgent or life-threatening, the medical staff will immediately have the inmate brought to the Health Care Unit and the inmate will not be required to wait until sick call begins.

- 12. During his incarceration at Ventress, Wright was not diagnosed with and has not sought any treatment for dementia. Dementia is not a specific disease. Dementia is a descriptive term for a collection of symptoms that can be caused by a number of disorders that affect the brain. Inmates with dementia have significantly impaired intellectual functioning that interferes with normal activities and relationships. Inmates with dementia also lose their ability to solve problems and maintain emotional control and they may experience personality changes and behavioral problems, such as agitation, delusions and hallucinations. While memory loss is a common symptom of dementia, memory loss by itself does not conclusively lead to the diagnosis that a person has dementia. Physicians diagnose dementia only if two or more brain functions (such as memory and language skills) are significantly impaired without loss of consciousness. During his incarceration at Ventress, Wright has not demonstrated to me any of the impairments and/or symptoms required for a diagnosis of dementia.
- 13. The Alabama Department of Corrections ("ADOC") has established general rules and/or guidelines governing the terms of incarceration for inmates within the Alabama prison system. When an inmate should be allowed to deviate from a rule or guideline imposed by ADOC for medical reasons, the medical staff must provide the inmate with a document referred to as a "profile" which permits an inmate to be excepted from the application of one of these ADOC rules and/or guidelines. ADOC has adopted certain rules regarding shaving. Shaving

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profiles are sometimes given to inmates at Ventress who, because of the nature of the hair on their faces or because they develop razor bumps, are not required to shave with a razor. Instead, these inmates are allowed to use clippers or are allowed not to shave and grow facial hair not exceeding one-eighth (1/8) of an inch. If an inmate wishes to request a shaving profile, he must submit a sick call request form and make a \$3.00 co-pay. A nurse will then evaluate the inmate during the sick call process and refer the inmate to a nurse practitioner or physician, who are the only parties authorized to create a shaving profile for an inmate. The nurse practitioner or physician will evaluate the inmate's facial irritation and either recommend or deny a shaving profile. Physicians indicate where an inmate is allowed to have hair on his face by darkening areas on a paper drawing of a face. This paper document is visually depicts an inmate's shaving profile. When the profile is completed, the inmate is given a carbon copy of this profile to show physicians, nurses and ADOC's employees why his face is not completely shaven. A shaving profile has an effective date and expiration date. At Ventress, shaving profiles can be effective from thirty (30) days to one (1) year. If an inmate is transferred from one facility to another (i.e., such as Wright's transfer from Bullock to Ventress), a nurse may copy the shaving profile from another facility to make it effective in the new facility. When Wright transferred from Bullock to Ventress, a member of the medical staff copied Wright's shaving profile, which was provided to him at Bullock. Wright currently has a shaving profile that does not expire until May 5, 2006. Wright has not been denied or refused a shaving profile at any time during his incarceration at Ventress.

14. Wright has not tested positive for tuberculosis ("TB") during his incarceration at Ventress. TB is an infection with the bacterium *Mycobacterium tuberculosis*, which most commonly affects the lungs, but can also affect the central nervous system, lymphatic system,

circulatory system, bones and joints. There are two (2) types of TB: latent and active. Latent TB results when an inmate is exposed to another individual with the TB virus and contracts the TB virus in its non-active state. Inmates with latent TB cannot transmit the virus to other inmates. Active TB may result when latent TB goes untreated. If an inmate is exposed to TB, he may test positive after a TB skin test (otherwise known as and/or labeled a "PPD" skin test). A TB skin test is given by needle in the inmate's forearm. After the TB skin test is administered, the inmate will return to the Health Care Unit in two (2) to three (3) days to determine if the TB skin test is positive or negative. If an inmate has been exposed to the TB virus (i.e., latent TB), the inmate will have an induration (i.e., hardening of skin), swelling or blister on the forearm where the test was given. If there is no reaction, the skin will remain smooth at the site of the test without a reaction (i.e., a zero (0) millimeters reaction as indicated on the TB skin test results). A TB skin test is given as a preventive measure to allow the medical staff at Ventress to identify those inmates who have been exposed to TB and take the necessary steps to ensure that active TB does not develop. If an inmate tests positive for TB, the inmate is given the medication isoniazid ("INH") as a preventative measure to prevent active TB from developing. An inmate having a positive TB skin test is also given a chest X-ray to determine lung abnormality. An inmate testing positive for active TB (which is contagious and can be passed from inmate-to-inmate) is also sent to a negative pressure isolation room at Kilby Correctional Facility ("Kilby") for monitoring and treatment. After the inmate undergoes the initial treatment process at Kilby, three (3) sputum cultures are taken and must come back negative in order for the inmate to return to Ventress's inmate population. A sputum culture is a diagnostic test used to detect and confirm the pretense of active TB within the lungs. A sputum culture collection involves the patient coughing deeply to expel the sputum. If the patient is unable to cough deeply enough to expel a

sufficient sample, a suction tube or needle may be used to collect the sputum sample. The sputum is coughed into or placed in a sterile container containing a substance that promotes bacterial and fungal growth. However, if no fungi or bacteria grow, the test is considered to be negative. Because Wright did not test positive for active TB, he was not transferred to Kilby for isolation, monitoring and treatment.

- 15. Wright received TB skin tests on April 14, 2004 (with corresponding results on April 17, 2004) and May 11, 2004 (with corresponding results on May 14, 2004), which indicated he had a zero (0) millimeter reaction to the TB skin test. This means Wright was not exposed to the TB virus and had not contracted latent or active TB. Wright refused to take another TB skin test on April 26, 2005. At this time, I contacted the State Health Department concerning Wright's refusals to undergo this TB skin test. Dr. Willard Mosier, the former State Medical Director for PHS, decided that Wright would not be forced to take a TB skin test, and stated that Wright should stay in segregation until the medical staff could confirm that Wright did not pose a health risk to other inmates. As a result, Wright was placed in segregation, as a safety precaution, beginning on April 28, 2005. Wright later agreed to take the TB skin test on June 24, 2005, while he remained in segregation. After his test results were received, Wright was released from segregation on June 30, 2005. According to existing policy, Wright was not released from segregation until the medical staff confirmed he had not contracted TB.
- 16. During his incarceration at Ventress, the medical staff has tested Wright's bloodsugar level at his request. The only inmates at Ventress who have their blood-sugar levels regularly tested are inmates who are diabetic, whose blood-sugar level require oversight by the medical staff. When the medical staff tested Wright's blood-sugar level, the results of this test placed him squarely within the normal range. Wright's lab test results taken on December 3,

2004 (reported on December 4, 2004), indicate that Wright's "Glucose, Serum" level was "76 mg/dL." The normal range limits are "65-99 mg/dL." Wright's test results fall well within this range. Wright has not been diagnosed with diabetes.

- Wright does not have any visible signs of sores on his legs, hands, back, head and 17. face. Wright's only visible signs of skin irritation are on his face and neck. To treat these areas of irritation, Wright has been given a shaving profile, ointments and creams. These areas of skin irritation are not open or draining and are not susceptible to infection. Though Wright believes that these areas of skin irritation are a result of a previously administered TB skin test, such irritation could not have been caused by the TB skin test. A positive reaction to a TB skin test only causes a red spot, rash, swelling or blister on the forearm where the test was administered, not skin irritation to the inmate's face, neck or other parts of the inmate's body (other than the forearm). Any areas where Wright may be experiencing skin irritation, namely Wright's face and neck, have been and are continuing to be timely and appropriately treated by the medical staff at Ventress.
- 18. PHS has a well-established grievance procedure for any inmate who wishes to voice a complaint regarding any medical treatment he has sought or received during his incarceration at Ventress. When an inmate first arrives at Ventress, he is taken to the Health Care Unit to be processed the system and receive an orientation as to the availability of medical services at the facility as well as the procedures for obtaining medical care. At this time, inmates are verbally advised and given written notification of the procedures that must be utilized to have access health care. This notice explains the procedure for inmates to follow in order to submit a sick call request form and instructs inmates as to how a grievance is filed. The existence of Ventress' grievance procedure is well-known among the prison population, as indicated by the

fact that I receive numerous inmate requests and/or inmate grievances on a daily basis. PHS's physicians, nurse practitioners, nurses and other medical personnel attempt to resolve all inmate concerns prior to an "inmate grievance" being submitted. The grievance process starts with an "inmate request" being submitted to me through the institutional mail system. This request is reviewed by me and an interview is arranged within five (5) days of receipt of the inmate request. If the inmate is not satisfied with the outcome of this interview, an "inmate grievance" may be submitted, and a written response will follow within three (3) days of receipt of the inmate grievance. If the inmate grievance cannot be resolved to the inmate's satisfaction, the inmate may then file an "inmate grievance appeal," whereby the written inmate grievance will be reviewed through the facility review process. Inmate grievance and inmate grievance appeal forms may be picked up from the shift commander's office at Ventress, which is accessible to all inmates throughout the day. Inmates are instructed to place completed inmate requests and/or inmate grievance forms in the sick call box and/or request box located in the kitchen (otherwise referred to as the chow hall). When received in the Health Care Unit, immate request and/or inmate grievance forms are then sent to me by the medical records clerk or administrative assistant. I review the grievances at least once a week, provide my written response at the bottom of the form and return a copy of the completed grievance form to the inmate. I encourage inmates who have complaints about the medical care they have sought or received at Ventress to utilize this grievance process.

19. PHS's physicians, nurse practitioners, nurses and other medical personnel at Ventress are not responsible for actions taken by ADOC officers and/or other personnel. Particularly, PHS's physicians, nurse practitioners, nurses and other medical personnel at Ventress do not engage in the following activities: intercepting any inmate's outgoing mail,

falsely accusing any inmate of violating any administrative rule, falsely altering the crimes for which an inmate has been incarcerated (thereby hindering the inmate's parole), raising any inmate's security status from minimum to maximum, falsifying statements made by any inmate or harassing any inmate.

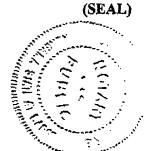
20. PHS's physicians, nurse practitioners, nurses and other medical personnel at Ventress have responded in a timely and appropriate manner to the requests for medical treatment submitted by Wright during his incarceration. The medical staff at Ventress has not ignored any of Wright's medical complaints or refused in any way to provide him with any necessary medical treatment. On each occasion that Wright has made medical complaints, the medical staff has provided Wright with timely and appropriate medical care and treatment for his problems.

Further affiant saith not.

Netrie Burks, Health Services Administrator

SWORN TO and SUBSCRIBED before this the // day of November, 2005

My Commission Expires:



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